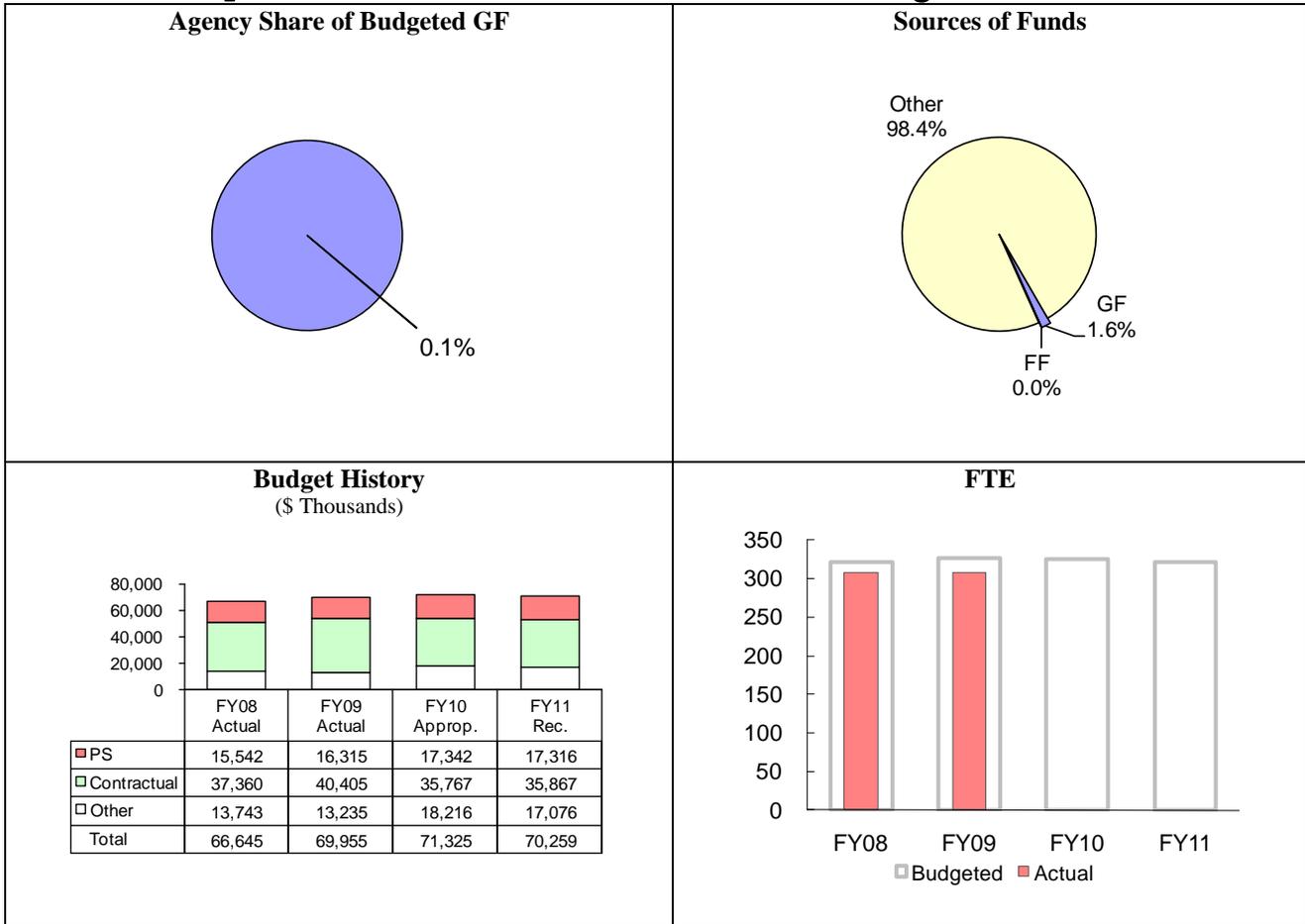


FY11 Budget Briefing

Department of Revenue and Regulation



Key Responsibilities

- To provide the revenue necessary for the support of state and local government programs through the fair and consistent application of the tax laws and through a comprehensive program of education that explains the responsibilities and rights of taxpayers;
- To operate the state lottery established in SDCL 42-7A in a secure, efficient, and profitable manner;
- To provide for the safety and well-being of consumers and the general public by regulating the banking, securities, insurance, gaming, and racing industries; and
- To protect the interest of the public when engaged in a real estate transaction; to promote ethical standards for abstractors; and, to assist in cleanup of petroleum spills.

Key Personnel

Paul Kinsman, Secretary
David Wiest, Deputy Secretary

Joan Serfling, Director of Administrative Services

Department of Revenue and Regulation Total

For FY11, the Governor recommends a decrease of \$1,066,357 from all funds and a decrease of 4.0 FTEs from FY10. The FY11 recommended budget consists of \$1,142,611 from general funds and \$69,115,898 in other fund expenditure authority, for a total budget of \$70,258,509 and 322.1 FTEs.

	Actual FY09	Budgeted FY10	Agency Req. FY11	Gov Rec. FY11	Inc/Dec FY11	% Change From FY10
Personal Services	16,315,058	17,341,662	17,359,362	17,315,746	(25,916)	(0.1%)
Travel	922,098	1,132,573	1,151,923	1,151,923	19,350	1.7%
Contractual Services	40,404,676	35,767,469	35,867,169	35,867,169	99,700	0.3%
Supplies & Materials	2,442,051	5,247,986	4,097,986	4,097,986	(1,150,000)	(21.9%)
Grants And Subsidies	9,363,655	11,175,000	11,175,000	11,175,000	-	0.0%
Capital Outlay	245,716	392,176	382,685	382,685	(9,491)	(2.4%)
Other	261,664	268,000	268,000	268,000	-	0.0%
Total	69,954,918	71,324,866	70,302,125	70,258,509	(1,066,357)	(1.5%)
Funding Types						
General	1,229,956	1,136,728	1,136,728	1,142,611	5,883	0.5%
Federal	546,000	-	-	-	-	0.0%
Other	68,178,962	70,188,138	69,165,397	69,115,898	(1,072,240)	(1.5%)
Total	69,954,918	71,324,866	70,302,125	70,258,509	(1,066,357)	(1.5%)
FTE	308.5	326.1	326.1	322.1	(4.0)	(1.2%)

Salary Policy

	General Funds	Federal Funds	Other Funds	Total
0% PACE Movement	-	-	-	-
0% Across-the-Board	-	-	-	-
Health Insurance	5,883	-	108,860	114,743
Total	5,883	-	108,860	114,743

Excess Personal Services History

Amount appropriated for personal services that exceeds personal services needs.

	General Funds	Federal Funds	Other Funds	All Funds	FTE
FY09	168,166.23	0.00	935,562.89	1,103,729.12	18.6
FY08	12,174.80	0.00	904,506.06	916,680.86	14.7
FY07	2,154.25	0.00	867,634.19	869,788.44	11.1
FY06	(12,938.81)	0.00	873,485.11	860,546.30	15.0
FY05	29,171.58	0.00	666,300.71	695,472.29	4.5
5 Yr Ave.	39,745.61	0.00	849,497.79	889,243.40	12.8

Department's FY10 Highest Priorities

- The valuation of agricultural land
- Moving forward with the Streamlined Sales Tax Project
- Educating the tax payer
- Making computer systems more efficient

Major Expansions and Reductions

Program	Governor's Recommendation		
	State General Fund	All Funds	FTE
Business Tax			
FTE Reduction	-	(27,910)	(1.0)
Motor Vehicles			
Supplies and Materials	-	(1,150,000)	0.0
Banking			
Capital Outlay	-	(11,491)	0.0
Insurance Fraud Unit- Informational			
FTE Reduction	-	(56,241)	(1.0)
Video Lottery			
FTE Reduction	-	(33,674)	(1.0)
Real Estate Commission- Informational			
Personal Services	-	17,700	0.0
Operating Expenses		121,050	0.0
Commission on Gaming- Informational			
FTE Reduction	-	(40,534)	(1.0)
State Health Insurance Plan	5,883	114,743	0.0
Total	5,883	(1,066,357)	(4.0)

Business Tax:

- Personal Services- The Governor recommends a decrease of \$27,910 in other fund expenditure authority and 1.0 FTE due to an unutilized FTE.

Motor Vehicles:

- Supplies and Materials- The Governor recommends a decrease of \$1,150,000 in other fund expenditure authority due to the portion of the FY10 budget increase that does not need to be retained in FY11 for the 2011 license plate issuance. The estimated cost for the 2011 license plate issuance during FY11 will be \$1,055,540.

Banking:

- Capital Outlay- The Governor recommends a decrease of \$11,491 in other fund expenditure authority due to a reduction in the office furniture and fixtures budget in FY11.

Insurance Fraud- Informational:

- Personal Services- The Governor recommends a decrease of \$56,241 in other fund expenditure authority and 1.0 FTE due to an unutilized FTE.

Video Lottery:

- Personal Services- The Governor recommends a decrease of \$33,674 in other fund expenditure authority and 1.0 FTE due to an unutilized FTE.

Real Estate Commission:

- Personal Services- The Governor recommends an increase of \$17,700 in other fund expenditure authority to get the personal services budget in line with actual expenditures for 5.0 FTE and a five (5) member commission.
- Travel- The Governor recommends an increase of \$19,350 in other fund expenditure authority to reflect actual travel costs of real estate employees and commissioners.
- Contractual Services- The Governor recommends an increase of \$99,700 in other fund expenditure authority primarily due to additional educational opportunities offered to members and to provide funds to develop on-line services to real estate licensees.
- Capital Outlay- The Governor recommends an increase of \$2,000 in other fund expenditure authority for computer hardware and software.

Commission on Gaming- Informational:

- Personal Services- The Governor recommends a decrease of \$40,534 in other fund expenditure authority and 1.0 FTE due to an unutilized FTE.

State Health Insurance Plan:

- The Governor recommends an increase of \$114,743 (\$5,883 GF, \$108,860 OF) for the employer paid portion of the state health insurance plan.

Secretariat

The total recommended budget for the Secretariat consists of \$165,371 from general funds, and \$3,657,842 in other fund expenditure authority, for a total budget of \$3,823,213 and 39.5 FTEs.

	Actual FY09	Budgeted FY10	Agency Req. FY11	Gov Rec. FY11	Inc/Dec FY11	% Change From FY10
Personal Services	2,217,870	2,302,280	2,302,280	2,317,196	14,916	0.6%
Travel	39,935	69,392	69,392	69,392	-	0.0%
Contractual Services	1,356,802	1,336,039	1,336,039	1,336,039	-	0.0%
Supplies & Materials	204,109	85,686	85,686	85,686	-	0.0%
Grants And Subsidies	-	-	-	-	-	0.0%
Capital Outlay	10,832	14,900	14,900	14,900	-	0.0%
Other	-	-	-	-	-	0.0%
Total	3,829,548	3,808,297	3,808,297	3,823,213	14,916	0.4%
Funding Types						
General	298,395	164,650	164,650	165,371	721	0.4%
Federal	-	-	-	-	-	0.0%
Other	3,531,153	3,643,647	3,643,647	3,657,842	14,195	0.4%
Total	3,829,548	3,808,297	3,808,297	3,823,213	14,916	0.4%
FTE	39.5	39.5	39.5	39.5	-	0.0%

Revenues

	Actual FY08	Actual FY09	Estimated FY10	Estimated FY11
Internet and Phone Filing Collections	568,048,927	597,597,310	625,000,000	646,000,000
Remittance Center Collections:				
Department Collections	803,501,475	777,558,851	765,000,000	760,000,000
Other State Agency Collections	109,982,180	108,441,864	107,000,000	107,000,000
Appraiser Certification:				
New Application Fees	16,145	4,630	8,000	8,000
Renewal Fees	79,335	84,455	80,000	80,000
Investment Council Interest	7,015	10,273	10,000	10,000
Reciprocity Fees	7,750	5,075	5,000	5,000
Temporary Fees	13,500	9,600	11,000	11,000
Upgrade Review Fees	3,875	300	3,000	3,000
Penalty/Discipline Fees	3,158	4,000	4,000	4,000
Course Fees	7,500	5,250	6,000	6,000
Penalty/Renewals	1,750	875	1,000	1,000
Supervisor/Trainee Applications		4,650	750	750
Supervisor Renewal			2,000	2,000
Total	1,481,672,610	1,483,727,133	1,497,130,750	1,513,130,750

- Personal Services- The Governor recommends an increase of \$14,916 (\$721 GF, \$14,195 OF) for the employer paid portion of the state health insurance plan.

Selected Statistical Data

	Actual FY08	Actual FY09	Estimated FY10	Estimated FY11
Collections:				
Centralized Collections	\$8,682,811	\$11,509,500	\$12,500,000	\$12,500,000
Legal Staff:				
Department Cases Opened	596	619	600	600
ISB Investigations	119	88	125	120
Remittance Center:				
Department Documents Processed	473,062	468,495	464,000	462,000
Other Department Documents Processed	53,791	51,541	51,500	51,000
E-Newsletters	68,682	52,983	53,500	54,800
Business Education (Held/Attended):				
Small Business Workshops	9/289	10/252	9/240	9/240
Contractors' Excise Tax Seminars	4/77	4/50	4/50	4/50
Sales Tax Seminars	3/121	4/83	4/80	4/80
Tri-State Contractors' Excise Tax Seminars	3/70	2/54	2/60	2/60
Tri-State Sales Tax Seminars	3/127	2/64	2/70	2/70
Border States Contractors' Excise Tax Seminar	9/172	5/63	5/70	5/70
Border States Sales Tax Seminars	9/246	5/115	5/120	5/120
Special Interest Group Presentations	15/373	38/1,197	30/900	30/900
Appraisers--New/Renewed Licenses	22/354	17/372	17/372	17/372
Complaints Received (Appraisers)	9	14	15	15
Upgrade/New Application Reviews	34/3	3/2	30/2	5/2
Reciprocity/Temporary	22/90	11/64	11/64	11/64
Course Applications	150	105	105	105
Supervisor/Trainees (New/Renewed)	0/0	32/0	10/32	5/35

Business Tax

The total recommended budget for the Business Tax Division consists of \$3,633,759 in other fund expenditure authority and 50.0 FTEs.

	Actual FY09	Budgeted FY10	Agency Req. FY11	Gov Rec. FY11	Inc/Dec FY11	% Change From FY10
Personal Services	2,362,634	2,534,444	2,534,444	2,524,259	(10,185)	(0.4%)
Travel	88,754	103,719	103,719	103,719	-	0.0%
Contractual Services	482,201	521,002	521,002	521,002	-	0.0%
Supplies & Materials	453,586	465,570	465,570	465,570	-	0.0%
Grants And Subsidies	-	-	-	-	-	0.0%
Capital Outlay	28,803	19,209	19,209	19,209	-	0.0%
Other	-	-	-	-	-	0.0%
Total	3,415,978	3,643,944	3,643,944	3,633,759	(10,185)	(0.3%)
Funding Types						
General	-	-	-	-	-	0.0%
Federal	-	-	-	-	-	0.0%
Other	3,415,978	3,643,944	3,643,944	3,633,759	(10,185)	(0.3%)
Total	3,415,978	3,643,944	3,643,944	3,633,759	(10,185)	(0.3%)
FTE	47.6	51.0	51.0	50.0	(1.0)	(2.0%)

Revenues

	Actual FY08	Actual FY09	Estimated FY10	Estimated FY11
Other Agency Collections	9,324,426	9,116,738	11,600,000	11,600,000
Collections:				
State Sales Tax	653,460,096	667,932,827	670,000,000	675,000,000
Streamlined Sales Tax Collections*	1,358,554	1,185,279	1,185,000	1,185,000
Excise Tax	78,978,429	71,384,919	72,000,000	74,000,000
Telecom Excise Tax	10,831,527	11,719,746	11,719,000	11,719,000
City/Reservation Taxes	280,250,920	285,293,435	287,000,000	287,000,000
Reserved for Construction Project Refunds	25,866,090	23,316,260	20,000,000	15,000,000
Total	1,060,070,042	1,069,949,204	1,073,504,000	1,075,504,000

*Revenues from Streamlined voluntary sellers deposited into the tax relief fund.

- **Personal Services-** The Governor recommends a decrease of \$27,910 in other fund expenditure authority and 1.0 FTE due to an unutilized FTE. The Governor also recommends an increase of \$17,725 in other fund expenditure authority for the employer paid portion of the state health insurance plan.

Selected Statistical Data

	Actual FY08	Actual FY09	Estimated FY10	Estimated FY11
Cities/Tribes with Sales/Use Tax	224	245	250	260
Total Active Licenses	73,456	76,367	76,423	78,000
Delinquent/Out-of-Balance Notices	154,891	164,853	145,000	174,000
Licensee Reviews *	731	700	780	720
Balance of Active Accounts Receivable (July 1)	\$4,409,831	\$5,371,969	\$4,900,000	\$5,000,000
Total Paper Returns Processed	409,219	403,781	400,000	390,000
Internet and Phone Returns	91,768	102,033	110,000	117,500
Returns Out of Balance	123,021	87,439	88,000	88,000
800 Phone Bank Calls	34,308	34,000	34,000	34,000

*Licensee reviews are an information interview with sales and contractor's excise licensees where the Revenue Agent explains how the applicable tax applies to that specific type of business. These reviews are not a detailed audit conducted on a business, but rather are targeted at 90% of the businesses that would not normally receive specific attention because of a delinquency, or an audit.

- All of the other funds that support this program are from the Sales and Use Tax Collection Fund, created in SDCL 10-1-44:

10-1-44. Establishment of sales and use tax collection fund. There shall be established within the state treasury the sales and use tax collection fund for the purpose of administering the sales, use, municipal non-ad valorem, and contractors' excise taxes. Charges for the administration and collection of taxes collected pursuant to chapter 10-52 shall be deposited into the sales and use tax collection fund. In addition, the secretary of the Department of Revenue and Regulation shall, on a monthly basis, deposit revenue collected as a result of taxes imposed in chapters 10-45, 10-46, and 10-58 in the sales and use tax collection fund. The total amount deposited in the sales and use tax collection fund may not exceed the amount budgeted for such purposes. All money in the fund created by this section shall be budgeted and expended in accordance with the provisions of Title 4 on warrants drawn by the state auditor on vouchers approved by the secretary of the Department of Revenue and Regulation.

At the end of each fiscal year any cash balance left in the sales and use tax collection fund shall be transferred to the general fund.

Source: SL 1994, ch 95, § 1; SL 2003, ch 272, § 82.

Motor Vehicles

The Division administers all fuel taxes pursuant to SDCL 10-47B, as well as licensing, titling, and registration of boats, trailers, and motorized vehicles pursuant to SDCL Title 32.

The total recommended budget for the Division of Motor Vehicles consists of \$6,910,273 in other fund expenditure authority and 49.1 FTEs.

	Actual FY09	Budgeted FY10	Agency Req. FY11	Gov Rec. FY11	Inc/Dec FY11	% Change From FY10
Personal Services	2,048,029	2,021,092	2,021,092	2,038,450	17,358	0.9%
Travel	59,609	56,650	56,650	56,650	-	0.0%
Contractual Services	3,950,985	2,408,825	2,408,825	2,408,825	-	0.0%
Supplies & Materials	993,482	3,518,289	2,368,289	2,368,289	(1,150,000)	(32.7%)
Grants And Subsidies	-	-	-	-	-	0.0%
Capital Outlay	18,323	38,059	38,059	38,059	-	0.0%
Other	-	-	-	-	-	0.0%
Total	7,070,428	8,042,915	6,892,915	6,910,273	(1,132,642)	(14.1%)

Funding Types

General	-	-	-	-	-	0.0%
Federal	546,000	-	-	-	-	0.0%
Other	6,524,428	8,042,915	6,892,915	6,910,273	(1,132,642)	(14.1%)
Total	7,070,428	8,042,915	6,892,915	6,910,273	(1,132,642)	(14.1%)

FTE	47.1	49.1	49.1	49.1	-	0.0%
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Revenues

	Actual FY08	Actual FY09	Estimated FY10	Estimated FY11
Motor Vehicle Fees	94,236,474	88,252,658	90,000,000	90,000,000
Motor Vehicle Commercial Fees	15,169,457	15,425,250	15,600,000	15,600,000
Motor Fuel Taxes	145,688,345	134,935,921	135,000,000	135,000,000
Total	255,094,276	238,613,829	240,600,000	240,600,000

- Personal Services- The Governor recommends an increase of \$17,358 in other fund expenditure authority for the employer paid portion of the state health insurance plan.
- Supplies and Materials- The Governor recommends a decrease of \$1,150,000 in other fund expenditure authority due to the portion of the FY10 budget increase that does not need to be retained in FY11 for the 2011 license plate issuance. The estimated cost for the 2011 license plate issuance during FY11 will be \$1,055,540.
- Other funds in this division are from motor vehicle titling and licensing fees and a percentage of the motor fuel tax revenue (SDCL 10-47B-149).

Selected Statistical Data

	Actual FY08	Actual FY09	Estimated FY10	Estimated FY11
Certificates of Title Issued/Processing (Days)	382,860/10	346,198/7	383,000/10	350,000/7
Personal/Dealer License Plates Renewed	14,724/3,263	12,972/3,198	14,700/3,250	14,700/3,250
Vehicles Registered	1,168,616	1,113,254	1,168,000	1,168,000
Licensed Vehicle Dealers	1,385	1,295	1,385	1,385
IFTA Licenses	2,698	2,870	2,650	2,900
Suppliers/Out-of-State Suppliers	60	67	60	60
Importer/Exporter/Blender	457	528	500	500
Highway Contractors/Marketers	545/1,341	569/1,251	545/1,341	550/1,300
Gas Tax Refunds Processed	4,521	4,102	4,000	4,000
Power Units Prorated Under IRP*	14,577	21,225	18,000	15,000
Prorate Trailer ID Plates Issued*	1,088	3,243	2,000	1,500
Commercial Tonnage Stickers Sold	40,339	37,128	40,000	38,000
30-Day Commercial Permits Sold	4,849	3,445	4,000	4,000
Harvest Permits Sold	1,090	1,130	1,100	1,100

*The new motor vehicle system is being used to register prorated power units and trailers. Each change of ownership becomes a new record. The IFTA/IRP system currently being developed for implementation by December 2010 will change this business practice.

Property and Special Taxes

The total recommended budget for the Property and Special Taxes Division consists of \$977,240 from general funds and 14.0 FTEs.

	Actual FY09	Budgeted FY10	Agency Req. FY11	Gov Rec. FY11	Inc/Dec FY11	% Change From FY10
Personal Services	766,065	778,586	778,586	783,748	5,162	0.7%
Travel	35,474	37,098	37,098	37,098	-	0.0%
Contractual Services	71,272	104,147	104,147	104,147	-	0.0%
Supplies & Materials	31,510	46,187	46,187	46,187	-	0.0%
Grants And Subsidies	-	-	-	-	-	0.0%
Capital Outlay	24,166	6,060	6,060	6,060	-	0.0%
Other	3,074	-	-	-	-	0.0%
Total	931,561	972,078	972,078	977,240	5,162	0.5%
Funding Types						
General	931,560	972,078	972,078	977,240	5,162	0.5%
Federal	-	-	-	-	-	0.0%
Other	-	-	-	-	-	0.0%
Total	931,560	972,078	972,078	977,240	5,162	0.5%
FTE	13.4	14.0	14.0	14.0	-	0.0%

Revenues

	Actual FY08	Actual FY09	Estimated FY10	Estimated FY11
Collections:				
Special Taxes - State Funds	123,414,526	112,564,520	115,000,000	115,000,000
Special Taxes - Local Governments	32,316,180	24,166,534	25,000,000	25,000,000
Total	155,730,706	136,731,054	140,000,000	140,000,000

- **Personal Services**- The Governor recommends an increase of \$5,162 from general funds for the employer paid portion of the state health insurance plan.

Selected Statistical Data

	Actual FY08	Actual FY09	Estimated FY10	Estimated FY11
Tax Refund Applications Received	3,081	3,115	3,000	3,000
Applications Refunded/Denied	2,823/258	2,909/206	2,750/250	2,200/300
Tax Refunded	\$564,634	\$600,982	\$505,000	\$490,000
Bank Franchise Tax Performance Indicators:				
Bank Franchise Returns	650	621	650	650
Bank Franchise Qtr Reports Filed	264	496	400	400
Tobacco Performance Indicators:				
Cigarette Wholesaler and Distributor Licenses	77	80	80	80
Cigarette Retailers Registered	2,548	2,301	2,200	2,000
Cigarette Stamps	39,363,703	39,938,811	39,000,000	39,000,000
Other Tobacco Products Reports Filed	892	811	800	800
Retail Compliance Checks	1,268	876	1,300	1,000
Cigarette Seizures	367 packs	397 packs	300 packs	300 packs
Alcohol Performance Indicators:				
Liquor and Beer Licenses	5,503	5,218	5,600	5,400
Alcohol Related Phone Calls Received	4,057	3,752	3,900	3,900
Property Tax Performance Indicators:				
Assessors Certified/Attendance at Annual School	147	135	135	135
Tax Increment Finance Districts	135	150	150	150
Levies Approved	3,720	3,750	3,750	3,750

Audits

The total recommended budget for the Audit Division consists of \$3,643,988 in other fund expenditure authority and 56.0 FTEs.

	Actual FY09	Budgeted FY10	Agency Req. FY11	Gov Rec. FY11	Inc/Dec FY11	% Change From FY10
Personal Services	2,915,191	3,058,458	3,058,458	3,078,311	19,853	0.6%
Travel	251,422	306,221	306,221	306,221	-	0.0%
Contractual Services	213,050	194,040	194,040	194,040	-	0.0%
Supplies & Materials	36,522	28,986	28,986	28,986	-	0.0%
Grants And Subsidies	-	-	-	-	-	0.0%
Capital Outlay	45,396	36,430	36,430	36,430	-	0.0%
Other	-	-	-	-	-	0.0%
Total	3,461,581	3,624,135	3,624,135	3,643,988	19,853	0.5%
Funding Types						
General	-	-	-	-	-	0.0%
Federal	-	-	-	-	-	0.0%
Other	3,461,581	3,624,135	3,624,135	3,643,988	19,853	0.5%
Total	3,461,581	3,624,135	3,624,135	3,643,988	19,853	0.5%
FTE	53.7	56.0	56.0	56.0	-	0.0%

- Personal Services- The Governor recommends an increase of \$19,853 in other fund expenditure authority for the employer paid portion of the state health insurance plan.

Selected Statistical Data

	Actual FY08	Actual FY09	Estimated FY10	Estimated FY11
Assessments/Audits:				
Sales & Use/ Excise Audits	1,360	1,457	1,450	1,425
Sales & Use/Excise Assessment	\$15,244,806	\$18,391,394	\$16,525,000	\$17,550,000
IFTA, Motor Fuel, Prorate Audits	318	193	320	325
IFTA, Motor Fuel, Prorate Assessment	\$419,944	\$313,595	\$375,000	\$425,000
Total Audits	1,678	1,650	1,770	1,750
Total Assessments	\$15,664,750	\$18,704,989	\$16,900,000	\$17,975,000

Banking

The total recommended budget for the Division of Banking consists of \$1,912,253 in other fund expenditure authority and 21.5 FTEs.

	Actual FY09	Budgeted FY10	Agency Req. FY11	Gov Rec. FY11	Inc/Dec FY11	% Change From FY10
Personal Services	1,191,290	1,363,973	1,363,973	1,371,693	7,720	0.6%
Travel	167,260	184,382	184,382	184,382	-	0.0%
Contractual Services	207,043	332,771	332,771	332,771	-	0.0%
Supplies & Materials	17,092	18,407	18,407	18,407	-	0.0%
Grants And Subsidies	-	-	-	-	-	0.0%
Capital Outlay	11,431	16,491	5,000	5,000	(11,491)	(69.7%)
Other	-	-	-	-	-	0.0%
Total	1,594,116	1,916,024	1,904,533	1,912,253	(3,771)	(0.2%)
Funding Types						
General	-	-	-	-	-	0.0%
Federal	-	-	-	-	-	0.0%
Other	1,594,116	1,916,024	1,904,533	1,912,253	(3,771)	(0.2%)
Total	1,594,116	1,916,024	1,904,533	1,912,253	(3,771)	(0.2%)
FTE	17.4	21.5	21.5	21.5	-	0.0%

Revenues

	Actual FY08	Actual FY09	Estimated FY10	Estimated FY11
Mortgage Lender Renewal and Application	108,170	102,595	100,000	100,000
Banking Revolving Fund:				
Bank Examination Fee	833,239	904,571	1,000,000	1,000,000
Trust Company Examination Fee	49,407	127,260	75,000	75,000
Money Lenders Renewal and Applications	229,650	291,150	250,000	250,000
Other License Fees	5,103	7		
Money Order Renewal and Application	15,600	20,100	15,000	15,000
Mortgage Broker Renewal and Application	49,340	27,410	100,000	100,000
Mortgage Loan Originator Renewal & Application	107,850	68,190	100,000	100,000
Trust Company Supervision Fee (1)	118,360	135,391	100,000	100,000
Investment Council Interest	33,878	30,323	20,000	20,000
Miscellaneous	782	1,182	20,000	0
Trust Company Charter Fees (General Fund)	25,000	10,000	20,000	20,000
Total	1,576,379	1,718,179	1,800,000	1,780,000

(1) Trust Company Serpersision Fee based on 5% growth in existing company assets only.

- Personal Services- The Governor recommends an increase of \$7,720 in other fund expenditure authority for the employer paid portion of the state health insurance plan.
- Capital Outlay- The Governor recommends a decrease of \$11,491 in other fund expenditure authority due to a reduction in the office furniture and fixtures budget in FY11.

Selected Statistical Data

	Actual FY08	Actual FY09	Estimated FY10	Estimated FY11
Action on Applications:				
New Bank/Trust Company Charters	0/6	0/6	0/5	0/5
Branches/Changes of Location or Control	23/4	4/0	20/2	20/2
Mergers/Denied Branch Banks	2/0	3/0	2/0	2/0
Interstate Banking and Branching	3	0	10	10
Mobile Banking Services	0	1	2	2
Loan Production Offices	1	6	1	1
Institutions Examined:				
Money Lenders (self examination)	320	345	308	308
Money Lenders (on-site)	15	10	50	50
Banks (1)	27	25	35	35
Trust Companies	11	10	20	20
Mortgage Lenders (self examination)	180	148	150	150
Mortgage Brokers (self examination)	105	49	60	60
Mortgage Loan Originators (self examination)	700	441	450	450
Licenses Issued or Renewed:				
Money Lenders/Money Orders	364/28	357/25	350/28	350/28
Mortgage Lenders/Brokers	194/107	193/64	175/75	175/75
Mortgage Loan Originator	724	686	500	500
Charters Cancelled:				
Banks and Bank Branches	1	2	1	1
Asset Size of Institutions Supervised:				
Total Assets-Banks (as of Fiscal Year-end) (2)	\$20,069,482,000	\$14,266,249,000	\$14,837,314,960	\$15,430,807,558
Managed Assets - Trust Companies & Trust Departments (as of FY Midpoint)	\$64,303,732,000	\$67,257,330,000	\$69,947,623,200	\$72,745,528,128

(1) Includes safety and soundness, IS, Trust department and BAS/USA Patriot Act examinations.

(2) Bank assets as of 3/31/2009 Call Report

Securities

The total recommended budget for the Securities Division consists of \$410,695 in other fund expenditure authority and 5.0 FTEs.

	Actual FY09	Budgeted FY10	Agency Req. FY11	Gov Rec. FY11	Inc/Dec FY11	% Change From FY10
Personal Services	325,941	339,320	339,320	341,092	1,772	0.5%
Travel	3,913	14,885	14,885	14,885	-	0.0%
Contractual Services	20,825	27,810	27,810	27,810	-	0.0%
Supplies & Materials	19,738	22,908	22,908	22,908	-	0.0%
Grants And Subsidies	-	-	-	-	-	0.0%
Capital Outlay	2,030	4,000	4,000	4,000	-	0.0%
Other	-	-	-	-	-	0.0%
Total	372,447	408,923	408,923	410,695	1,772	0.4%
Funding Types						
General	-	-	-	-	-	0.0%
Federal	-	-	-	-	-	0.0%
Other	372,447	408,923	408,923	410,695	1,772	0.4%
Total	372,447	408,923	408,923	410,695	1,772	0.4%
FTE	5.0	5.0	5.0	5.0	-	0.0%

Revenues

	Actual FY08	Actual FY09	Estimated FY10	Estimated FY11
Deposited to Securities Operating Fund:				
Securities Registration Fees	58,097	64,108	60,000	60,000
Franchise Registration Fees	156,650	144,950	155,000	140,000
Franchise Exemption Fees	20,250			
Business Opportunities Registration Fees	500	350	300	300
Securities Opinion Fees	125	225	200	200
Investment Company Notification Fees	18,819,200	18,056,200	17,000,000	17,000,000
Agent Licensing Fees	10,390,375	10,200,125	9,000,000	9,000,000
Broker-Dealer Licensing Fees	214,500	207,900	210,000	210,000
Investment Adviser Fees	4,000	3,700	4,500	4,500
Investment Adviser Agent Fees	81,250	73,600	65,000	65,000
I/A Notice Filings	146,000	167,600	130,000	130,000
Miscellaneous	8,600	10,322	4,000	4,000
Investment Council Interest	106,125	223,180	85,000	85,000
Private Placement/Reg. D506/Other	95,325	72,750	93,000	70,000
Fines	4,000	844,147	60,000	60,000
Total	30,104,997	30,069,157	26,867,000	26,829,000

- Personal Services- The Governor recommends an increase of \$1,772 in other fund expenditure authority for the employer paid portion of the state health insurance plan.

Selected Statistical Data

	Actual FY08	Actual FY09	Estimated FY10	Estimated FY11
New Securities Applications	109	46	90	45
Extensions and Amendments	54	43	50	40
Private Placement/Other Exemptions	0/387	1/293	1/350	1/250
Invest. Comp. Notice Filings--New/Total	3,413/23,000	2,727/20,320	3,070/20,700	2,700/20,000
New Franchise Applications/Registrations	257/790	262/773	250/780	250/770
Franchise Extensions/Exemptions	514/81	510/0	700/0	500/0
Business Opportunities--New/Total	4/4	2/1	4/4	2/2
Brokers-Dealers/B-D Agents Licensed	1,340/62,004	1,323/60,975	1,330/59,000	1,300/59,000
Investment Advisers/IA Agents Licensed	33/1,000	32/1,020	33/950	33/950
Investment Advisors Notice Filing	635	722	550	700
Franchise Applications Withdrawn	267	251	200	200
Investigations	199	196	150	150
Administrative Orders Issued	114	63	95	70
Opinions Requested	2	3	5	3
Transfers to General Fund (SDCL 4-4-4.4)	\$29,712,977	\$29,672,956	\$26,867,000	\$27,000,000

Insurance

The total recommended budget for the Division of Insurance consists of \$1,826,578 in other fund expenditure authority and 28.0 FTEs.

	Actual FY09	Budgeted FY10	Agency Req. FY11	Gov Rec. FY11	Inc/Dec FY11	% Change From FY10
Personal Services	1,445,107	1,451,421	1,451,421	1,461,413	9,992	0.7%
Travel	16,899	40,900	40,900	40,900	-	0.0%
Contractual Services	187,533	208,259	208,259	208,259	-	0.0%
Supplies & Materials	54,344	97,467	97,467	97,467	-	0.0%
Grants And Subsidies	-	-	-	-	-	0.0%
Capital Outlay	7,221	18,539	18,539	18,539	-	0.0%
Other	-	-	-	-	-	0.0%
Total	1,711,104	1,816,586	1,816,586	1,826,578	9,992	0.6%
Funding Types						
General	-	-	-	-	-	0.0%
Federal	-	-	-	-	-	0.0%
Other	1,711,104	1,816,586	1,816,586	1,826,578	9,992	0.6%
Total	1,711,104	1,816,586	1,816,586	1,826,578	9,992	0.6%
FTE	27.7	28.0	28.0	28.0	-	0.0%

Revenues

	Actual FY08	Actual FY09	Estimated FY10	Estimated FY11
Taxes Collected (General Fund)	60,103,206	61,525,439	63,000,000	63,000,000
Fees (Insurance Operating Fund):				
Admission	79,657	69,120	70,000	70,000
Company Renewal	83,170	83,470	83,500	83,500
Agent Licensing/Renewal	5,615,790	5,856,897	5,800,000	5,800,000
Exam Fees	12,710	13,220	12,500	12,500
Miscellaneous and Legal	9,330	9,584	9,330	9,330
Retaliatory/Filing	961,057	1,018,690	900,000	900,000
Administrative Penalties	38,251	118,538	25,000	25,000
Lists and Labels	5,270	2,650	2,500	2,500
Certification Letters	6,650	4,995	5,000	5,000
Investment Council Interest	34,474	39,502	34,000	34,000
Course Approval	25,255	20,550	20,000	20,000
Subsequent Injury Fund:				
Sub-Injury Fund Assessment	5,447,724	218,614	5,000,000	3,000,000
Investment Council Interest	52,476	72,048	65,000	65,000
Continuing Education Fund:				
Agent Renewal Fees (Biennial renewal)	93,100	125	93,100	0
Investment Council Interest				
Special Collections for Workers Comp				
Policy Fee (Transferred to Dept. of Labor)	259,907	258,988	259,000	259,000
Examination Fund (Effective 7-1-97)	416,100	417,300	416,000	416,000
Investment Council Interest	10,068	16,364	12,000	12,000
Total	73,254,195	69,746,094	75,806,930	73,713,830

- Personal Services- The Governor recommends an increase of \$9,992 in other fund expenditure authority for the employer paid portion of the state health insurance plan.

Selected Statistical Data

	Actual FY08	Actual FY09	Estimated FY10	Estimated FY11
Total Licensed/Domestic Companies	1,421/45	1,431/45	1,430/48	1,430/45
Domestic Companies Financial Exams	21	4	6	7
Company Market Conduct Exams	0	1	2	2
Companies Licensed/Approved Mergers	52	24	35	40
Agent Licenses Issued	15,827	12,493	16,000	18,000
Agent Appointments Issued	51,321	56,332	58,000	60,000
Renewed Appointments	156,092	185,502	200,000	210,000
Agent Appointment Cancellations	41,719	43,891	45,000	46,000
Propert/Casualty Filings Reviewed	6,717	6,920	7,000	7,100
Life/Health Filings Reviewed	3,543	3,693	3,750	3,850
Consumer Complaints Closed	913	956	950	950
Enforcement/New Open Files	869	845	900	900
Enforcement/New Closed Files	938	836	850	850
Continuing Education:				
Agents Paying License Renewal	4,796	0	6,600	0
Agents Exempt	2,384	0	500	0
Agents Reporting Completion	4,796	0	4,900	0
Courses Reviewed	842	807	850	850
Courses Monitored	6	7	7	7
Agent License Cancelled	1,686	0	1,300	0
Transfer to General Fund (SDCL 4-4-4.4)	\$4,772,651	\$5,186,087	\$5,000,000	\$5,000,000
Subsequent Injury Fund:				
New Claims	11	7	15	10
Claims Paid	90	86	80	86
Dollars Paid	\$3,313,036	\$1,740,626	\$2,000,000	\$2,000,000

Insurance Fraud Unit—Informational

The total recommended budget for the Insurance Fraud Unit consists of \$267,046 in other fund expenditure authority and 3.0 FTEs.

	Actual FY09	Budgeted FY10	Agency Req. FY11	Gov Rec. FY11	Inc/Dec FY11	% Change From FY10
Personal Services	135,063	235,454	235,454	180,274	(55,180)	(23.4%)
Travel	6,106	22,430	22,430	22,430	-	0.0%
Contractual Services	19,401	50,442	50,442	50,442	-	0.0%
Supplies & Materials	5,944	11,500	11,500	11,500	-	0.0%
Grants And Subsidies	-	-	-	-	-	0.0%
Capital Outlay	3,499	2,400	2,400	2,400	-	0.0%
Other	-	-	-	-	-	0.0%
Total	170,013	322,226	322,226	267,046	(55,180)	(17.1%)
Funding Types						
General	-	-	-	-	-	0.0%
Federal	-	-	-	-	-	0.0%
Other	170,014	322,226	322,226	267,046	(55,180)	(17.1%)
Total	170,014	322,226	322,226	267,046	(55,180)	(17.1%)
FTE	2.8	4.0	4.0	3.0	(1.0)	(25.0%)

Revenues

	Actual FY08	Actual FY09	Estimated FY10	Estimated FY11
Company Assessments	7,000	349,750	0	0
Civil Penalties	0	0	0	0
Investment Council Interest	10,309	11,748	10,000	10,000
Total	17,309	361,498	10,000	10,000

- Personal Services- The Governor recommends a decrease of \$56,241 in other fund expenditure authority and 1.0 FTE due to an unutilized FTE. The Governor also recommends an increase of \$1,061 in other fund expenditure authority for the employer paid portion of the state health insurance plan.

Selected Statistical Data

	Actual FY08	Actual FY09	Estimated FY10	Estimated FY11
Educational Programs	15	16	15	15
New Fraud Cases	92	93	95	95
Cases Closed--Unfounded	82	79	75	75
Criminal Convictions	6	4	7	6
Civil Convictions	0	0	1	1

Petroleum Release Compensation

The total recommended budget for Petroleum Release Compensation consists of \$453,132 in other fund expenditure authority and 5.0 FTEs.

	Actual FY09	Budgeted FY10	Agency Req. FY11	Gov Rec. FY11	Inc/Dec FY11	% Change From FY10
Personal Services	307,022	328,307	328,307	330,079	1,772	0.5%
Travel	10,987	27,624	27,624	27,624	-	0.0%
Contractual Services	65,044	71,057	71,057	71,057	-	0.0%
Supplies & Materials	8,241	20,670	20,670	20,670	-	0.0%
Grants And Subsidies	-	-	-	-	-	0.0%
Capital Outlay	2,553	3,702	3,702	3,702	-	0.0%
Other	-	-	-	-	-	0.0%
Total	393,847	451,360	451,360	453,132	1,772	0.4%

Funding Types

General	-	-	-	-	-	0.0%
Federal	-	-	-	-	-	0.0%
Other	393,847	451,360	451,360	453,132	1,772	0.4%
Total	393,847	451,360	451,360	453,132	1,772	0.4%

FTE	5.0	5.0	5.0	5.0	-	0.0%
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Revenues

	Actual FY08	Actual FY09	Estimated FY10	Estimated FY11
Refund Prior Year's Expenditure Deposited to Petroleum Release Comp Fund:				
Petroleum Tank Inspection Fee	1,978,767	1,641,623	1,650,000	1,600,000
Interest	247,650	285,223	200,000	200,000
Total	2,226,417	1,926,846	1,850,000	1,800,000

- Personal Services- The Governor recommends an increase of \$1,772 in other fund expenditure authority for the employer paid portion of the state health insurance plan.

Selected Statistical Data

	Actual FY08	Actual FY09	Estimated FY10	Estimated FY11
Petroleum Release Cases Initiated	45	70	30	30
Responsible Parties Reimbursed	130	116	175	150
Abandoned Tank Site Initiated	33	53	30	30
Claims Processed and Paid:				
Abandoned Tank Program	111	105	100	75
Regular Program	103	104	150	150
Public Presentations	5	3	6	5
Review Contracts and Corrective Action Plan	83	115	175	150
Board Meetings	2	2	4	4

Petroleum Release Compensation Fund--Informational

The total recommended budget for the Petroleum Release Compensation Fund consists of \$2,100,000 in other fund expenditure authority and 0.0 FTE.

	Actual FY09	Budgeted FY10	Agency Req. FY11	Gov Rec. FY11	Inc/Dec FY11	% Change From FY10
Personal Services	-	-	-	-	-	0.0%
Travel	-	-	-	-	-	0.0%
Contractual Services	167,109	100,000	100,000	100,000	-	0.0%
Supplies & Materials	-	-	-	-	-	0.0%
Grants And Subsidies	210,747	2,000,000	2,000,000	2,000,000	-	0.0%
Capital Outlay	-	-	-	-	-	0.0%
Other	-	-	-	-	-	0.0%
Total	377,856	2,100,000	2,100,000	2,100,000	-	0.0%
Funding Types						
General	-	-	-	-	-	0.0%
Federal	-	-	-	-	-	0.0%
Other	377,866	2,100,000	2,100,000	2,100,000	-	0.0%
Total	377,866	2,100,000	2,100,000	2,100,000	-	0.0%
FTE	-	-	-	-	-	0.0%

Instant and On-Line Operations—Informational

The total recommended budget for Instant and On-Line Operations consists of \$30,570,184 in other fund expenditure authority and 21.0 FTEs.

	Actual FY09	Budgeted FY10	Agency Req. FY11	Gov Rec. FY11	Inc/Dec FY11	% Change From FY10
Personal Services	1,025,162	1,207,890	1,207,890	1,215,032	7,142	0.6%
Travel	138,649	135,684	135,684	135,684	-	0.0%
Contractual Services	29,615,927	28,297,468	28,297,468	28,297,468	-	0.0%
Supplies & Materials	545,395	828,000	828,000	828,000	-	0.0%
Grants And Subsidies	-	-	-	-	-	0.0%
Capital Outlay	46,656	40,000	40,000	40,000	-	0.0%
Other	44,137	54,000	54,000	54,000	-	0.0%
Total	31,415,926	30,563,042	30,563,042	30,570,184	7,142	0.0%
Funding Types						
General	-	-	-	-	-	0.0%
Federal	-	-	-	-	-	0.0%
Other	31,415,926	30,563,042	30,563,042	30,570,184	7,142	0.0%
Total	31,415,926	30,563,042	30,563,042	30,570,184	7,142	0.0%
FTE	20.1	21.0	21.0	21.0	-	0.0%

Revenues

	Actual FY08	Actual FY09	Estimated FY10	Estimated FY11
Instant Proceeds--General Fund	5,088,644	4,143,568	5,000,000	5,000,000
On-Line Proceeds--General Fund	1,400,000	1,400,000	1,400,000	1,400,000
On-Line Proceeds--Capital Construction Fund	5,111,689	4,495,624	4,800,000	4,800,000
Total	11,600,333	10,039,192	11,200,000	11,200,000

- Personal Services- The Governor recommends an increase of \$7,142 in other fund expenditure authority for the employer paid portion of the state health insurance plan.

Selected Statistical Data

	Actual FY08	Actual FY09	Estimated FY10	Estimated FY11
Instant Games Introduced	26	25	26	26
On-Line Games Offered	5	6	5	5
Licensed Lottery Retailers--Instant	620	609	625	625
Licensed Lottery Retailers--On-Line	476	480	500	500
Prizes Paid to Players	23,942,488	23,542,343	24,500,000	24,500,000
Retailer Commissions Paid	2,381,810	2,341,033	2,400,000	2,400,000
Instant Games Total Sales	20,973,349	20,180,554	21,500,000	21,500,000
On-Line Games Total Sales	21,751,845	20,864,968	22,500,000	22,500,000

Video Lottery

The total recommended budget for Video Lottery consists of \$2,566,384 in other fund expenditure authority and 10.0 FTEs.

	Actual FY09	Budgeted FY10	Agency Req. FY11	Gov Rec. FY11	Inc/Dec FY11	% Change From FY10
Personal Services	430,237	522,929	522,929	492,081	(30,848)	(5.9%)
Travel	3,398	20,618	20,618	20,618	-	0.0%
Contractual Services	3,487,206	1,622,569	1,622,569	1,622,569	-	0.0%
Supplies & Materials	15,700	37,116	37,116	37,116	-	0.0%
Grants And Subsidies	-	-	-	-	-	0.0%
Capital Outlay	34,621	180,000	180,000	180,000	-	0.0%
Other	214,000	214,000	214,000	214,000	-	0.0%
Total	4,185,162	2,597,232	2,597,232	2,566,384	(30,848)	(1.2%)
Funding Types						
General	-	-	-	-	-	0.0%
Federal	-	-	-	-	-	0.0%
Other	4,185,162	2,597,232	2,597,232	2,566,384	(30,848)	(1.2%)
Total	4,185,162	2,597,232	2,597,232	2,566,384	(30,848)	(1.2%)
FTE	8.9	10.0	10.0	9.0	(1.0)	(10.0%)

Revenues

	Actual FY08	Actual FY09	Estimated FY10	Estimated FY11
License Fees to VL Operating Fund	1,194,150	1,202,750	1,200,000	1,200,000
Additional MFG. License Fee--General Fund	45,000	45,000	45,000	45,000
Video Lottery Proceeds--General Fund	500,000	0	0	0
Video Lottery Proceeds--Property Tax	111,043,345	109,340,854	109,340,854	109,340,854
Video Lottery Proceeds--VL Operating Fund	1,121,650	1,108,872	1,104,453	1,104,453
Miscellaneous Revenue	138,928	201,316	100,000	100,000
Total	114,043,073	111,898,792	111,790,307	111,790,307

- **Personal Services**- The Governor recommends a decrease of \$33,674 in other fund expenditure authority and 1.0 FTE due to an unutilized FTE. The Governor also recommends an increase of \$2,826 in other fund expenditure authority for the employer paid portion of the state health insurance plan.

Selected Statistical Data

	Actual FY08	Actual FY09	Estimated FY10	Estimated FY11
Machines Placed (12-Month Avg.)	8,969	8,965	9,100	9,000
Licensed Establishments (12-Month Avg.)	1,478	1,465	1,465	1,465
Licensed Operators	157	153	155	155
Licensed Distributors	3	3	3	3
Licensed Manufacturers	3	3	3	3

Real Estate Commission--Informational

The total recommended budget for the Real Estate Commission consists of \$606,821 in other fund expenditure authority and 5.0 FTEs.

	Actual FY09	Budgeted FY10	Agency Req. FY11	Gov Rec. FY11	Inc/Dec FY11	% Change From FY10
Personal Services	283,245	266,634	284,334	286,106	19,472	7.3%
Travel	42,342	23,050	42,400	42,400	19,350	83.9%
Contractual Services	201,410	152,415	252,115	252,115	99,700	65.4%
Supplies & Materials	21,906	24,200	24,200	24,200	-	0.0%
Grants And Subsidies	-	-	-	-	-	0.0%
Capital Outlay	28,710	-	-	2,000	2,000	0.0%
Other	-	-	-	-	-	0.0%
Total	577,613	466,299	603,049	606,821	140,522	30.1%
Funding Types						
General	-	-	-	-	-	0.0%
Federal	-	-	-	-	-	0.0%
Other	551,773	466,299	605,049	606,821	140,522	30.1%
Total	551,773	466,299	605,049	606,821	140,522	30.1%
FTE	5.0	5.0	5.0	5.0	-	0.0%

Revenues

	Actual FY08	Actual FY09	Estimated FY10	Estimated FY11
Application Fees	103,165	91,440	83,700	85,000
New License Fees	38,456	19,452	16,200	16,200
Renewal Fees	298,175	202,182	248,425	196,925
Materials Sold	13,023	10,725	9,300	9,300
Interest Income	35,224	45,467	15,000	15,000
Changes of Address	8,385	6,510	7,500	7,500
Certificates of Licensure	2,760	1,890	2,200	2,200
Late Renewal Fees	6,365	7,500	6,000	7,500
Intrastate Sales and Services	720	720	720	720
Penalties Reimbursement of Investigations	7,768	15,882	12,500	12,500
Seminar Income	74,960	69,060	70,000	70,000
Miscellaneous	90	143	100	100
Total	589,091	470,971	471,645	422,945

- Personal Services- The Governor recommends an increase of \$17,700 in other fund expenditure authority to get the personal services budget in line with actual expenditures for 5.0 FTE and a five (5) member commission. The Governor also recommends an increase of \$1,772 in other fund expenditure authority for the employer paid portion of the state health insurance plan.
- Travel- The Governor recommends an increase of \$19,350 in other fund expenditure authority to reflect actual travel costs of real estate employees and commissioners.

- Contractual Services- The Governor recommends an increase of \$99,700 in other fund expenditure authority primarily due to additional educational opportunities offered to members and to provide funds to develop on-line services to real estate licensees.
- Capital Outlay- The Governor recommends an increase of \$2,000 in other fund expenditure authority for computer hardware and software.

Selected Statistical Data

	Actual FY08	Actual FY09	Estimated FY10	Estimated FY11
Licenses Renewed/New	2,521/488	1,594/347	1,950/325	1,575/350
Practitioners	4,964	4,260	4,200	4,200
Examinations:				
Nationally Prepared (Times Given)	823	618	815	815
Applicants Examined/Passed	526/434	388/323	522/360	522/360
State Prepared (Times Given)	18	53	18	18
Applicants Examined/Passed	20/18	41/38	20/18	20/18
Applicants Reexamined/Passed	240/202	183/158	150/125	150/125
Complaints:				
Received/Investigated/Resolved	29/32/22	27/25/20	30/24/21	30/24/21
Hearings Held/Pending	8/16	7/10	8/10	8/10
Licensees Reprimanded/Probationed	2	8	10	10
Total Prosecutions	2	0	1	1
Audits	210	434	350	350

Abstracters Board of Examiners--Informational

The total recommended budget for Abstracters Board of Examiners consists of \$24,960 in other fund expenditure authority and 0.0 FTE.

	Actual FY09	Budgeted FY10	Agency Req. FY11	Gov Rec. FY11	Inc/Dec FY11	% Change From FY10
Personal Services	14,083	15,460	15,460	15,460	-	0.0%
Travel	2,819	3,500	3,500	3,500	-	0.0%
Contractual Services	2,644	5,000	5,000	5,000	-	0.0%
Supplies & Materials	538	1,000	1,000	1,000	-	0.0%
Grants And Subsidies	-	-	-	-	-	0.0%
Capital Outlay	-	-	-	-	-	0.0%
Other	453	-	-	-	-	0.0%
Total	20,537	24,960	24,960	24,960	-	0.0%

Funding Types

General	-	-	-	-	-	0.0%
Federal	-	-	-	-	-	0.0%
Other	20,536	24,960	24,960	24,960	-	0.0%
Total	20,536	24,960	24,960	24,960	-	0.0%

FTE - - - - - 0.0%

Note: The personal services line item in this program is for board members' per diem.

Revenues

	Actual FY08	Actual FY09	Estimated FY10	Estimated FY11
Examination Fees	1,600	1,150	1,400	1,400
Reexamination Fees	450	450	600	600
New License Fees	1,850	2,000	2,000	2,000
Renewal Fees	45,200	46,500	46,000	46,000
Interest Income	36	0	0	0
Plant Inspections	3,324	2,099	2,000	2,000
Total	52,460	52,199	52,000	52,000

Selected Statistical Data

	Actual FY08	Actual FY09	Estimated FY10	Estimated FY11
Licenses Renewed	75	71	75	75
New Licenses	3	3	3	3
Practitioners	158	152	172	172
Examinations:				
State Prepared (Times Given)	3	3	3	3
Applicants Examined	9	10	10	10
Applicants Reexamined	1	5	5	5
Complaints:				
Received/Investigated/Resolved	2/2/2	4/4/4	3/3/3	3/3/3
Hearings Held	0	0	0	0
Miscellaneous:				
Inspections	4	3	3	0
Inquiries Received and Answered	13	17	15	15
Board Meetings Held	3	3	3	3

Commission on Gaming--Informational

The total recommended budget for the State Commission on Gaming consists of \$10,531,983 in other fund expenditure authority and 16.0 FTEs.

	Actual FY09	Budgeted FY10	Agency Req. FY11	Gov Rec. FY11	Inc/Dec FY11	% Change From FY10
Personal Services	848,120	915,414	915,414	880,552	(34,862)	(3.8%)
Travel	54,529	86,420	86,420	86,420	-	0.0%
Contractual Services	356,224	335,625	335,625	335,625	-	0.0%
Supplies & Materials	33,935	42,000	42,000	42,000	-	0.0%
Grants And Subsidies	9,152,908	9,175,000	9,175,000	9,175,000	-	0.0%
Capital Outlay	7,313	12,386	12,386	12,386	-	0.0%
Other	-	-	-	-	-	0.0%
Total	10,453,029	10,566,845	10,566,845	10,531,983	(34,862)	(0.3%)
Funding Types						
General	-	-	-	-	-	0.0%
Federal	-	-	-	-	-	0.0%
Other	10,453,030	10,566,845	10,566,845	10,531,983	(34,862)	(0.3%)
Total	10,453,030	10,566,845	10,566,845	10,531,983	(34,862)	(0.3%)
FTE	15.3	17.0	17.0	16.0	(1.0)	(5.9%)

- Personal Services- The Governor recommends a decrease of \$40,534 in other fund expenditure authority and 1.0 FTE due to an unutilized FTE. The Governor also recommends an increase of \$5,672 in other fund expenditure authority for the employer paid portion of the state health insurance plan.

Revenues

	Actual FY08	Actual FY09	Estimated FY10	Estimated FY11
Gaming Fund:				
Device Fee	7,288,000	7,498,000	7,506,000	7,506,000
Gross Revenue Tax	8,001,324	8,007,138	9,000,000	9,000,000
City Slot Tax	290,003	53,847	259,500	259,500
Application Fee	143,470	109,960	110,000	110,000
License Fee	100,238	110,955	100,000	100,000
Device Testing Fee	17,182	15,484	16,000	16,000
Penalties	13,007	6,100	6,000	6,000
Interest	67,888	85,574	75,000	75,000
Racing Revenues:				
Dogs:				
Commission	39,845	33,929	29,000	29,000
Licenses and Fines	5,460	3,630	3,600	3,600
Revolving Fund	39,845	33,929	29,000	29,000
Bred Fund	39,845	33,929	29,000	29,000
Horses:				
Commission	65,369	57,584	49,000	49,000
Licenses and Fines	14,500	11,725	11,000	11,000
Revolving Fund	56,441	46,643	49,000	49,000
Bred Fund	58,542	50,152	49,000	49,000
Interest	37,344	35,468	18,000	18,000
Total	16,278,303	16,194,047	17,339,100	17,339,100

Selected Statistical Data

	Actual FY08	Actual FY09	Estimated FY10	Estimated FY11
Licenses Issued:				
Manufacturers/Distributors	13	12	11	11
Operators/Retailers	34/183	36/197	37/193	34/200
Support/Key Employees	1,504	1,490	1,500	1,500
Device Licenses	3,644	3,749	3,753	3,724
Gaming Distributions	\$14,491,956	\$14,662,516	\$15,680,000	\$15,680,000

Other Departmental Issues

A. Department of Revenue and Regulation

General Fund Reversions FY05-FY09

FY05- \$48,385

FY06- \$6,821

FY07- \$45,355

FY08- 2,551

FY09- \$99,746

B. Letters of Intent- FY10

None.