

FY11 Budget Request Unified Judicial System



- *David Gilbertson*
Chief Justice of Supreme Court
- *Patricia Duggan*
State Court Administrator
- *Janet Borchard*
Director of Budget & Finance
- *January 14, 2010*

FY 2011 Major Items - Revised

MAJOR ITEMS	FTE	General Funds	Federal Funds	Other Funds	Total Funds	Comments
1 Employee Benefits		182,889	770	8,549	192,208	Health insurance
2 Judicial Training				70,530	70,530	CMP & Ct Autom
3 Referee Services				240,000	240,000	Modification hearings
4 Information & Technology				1,845,474	1,845,474	New CMS
5 Capital Assets		179,615			179,615	Courthouse remodels
TOTAL REQUESTED	0.0	362,504	770	2,164,553	2,527,827	
% INCREASE	0.0%	1.0%	0.2%	30.2%	5.9%	
TOTAL FY2010	527.4	35,318,709	392,769	7,164,080	42,875,558	
TOTAL REQUESTED FY2011	527.4	35,681,213	393,539	9,328,633	45,403,385	

Capital Assets Requests \$179,615

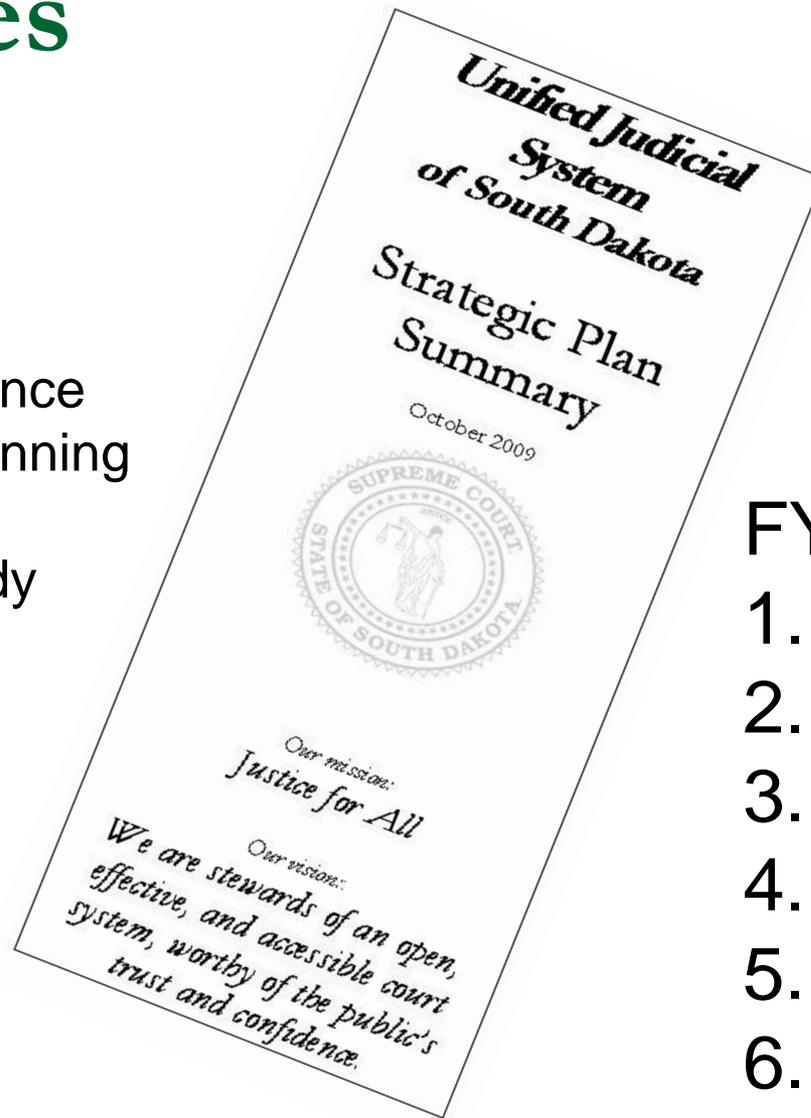
- Davison county, \$6,500
- Hanson county, \$1,800
- Moody county, \$4,500
- Meade county, \$145,815
- Yankton county, \$21,000

Priorities

FY10

Technology
Court Performance
Succession Planning

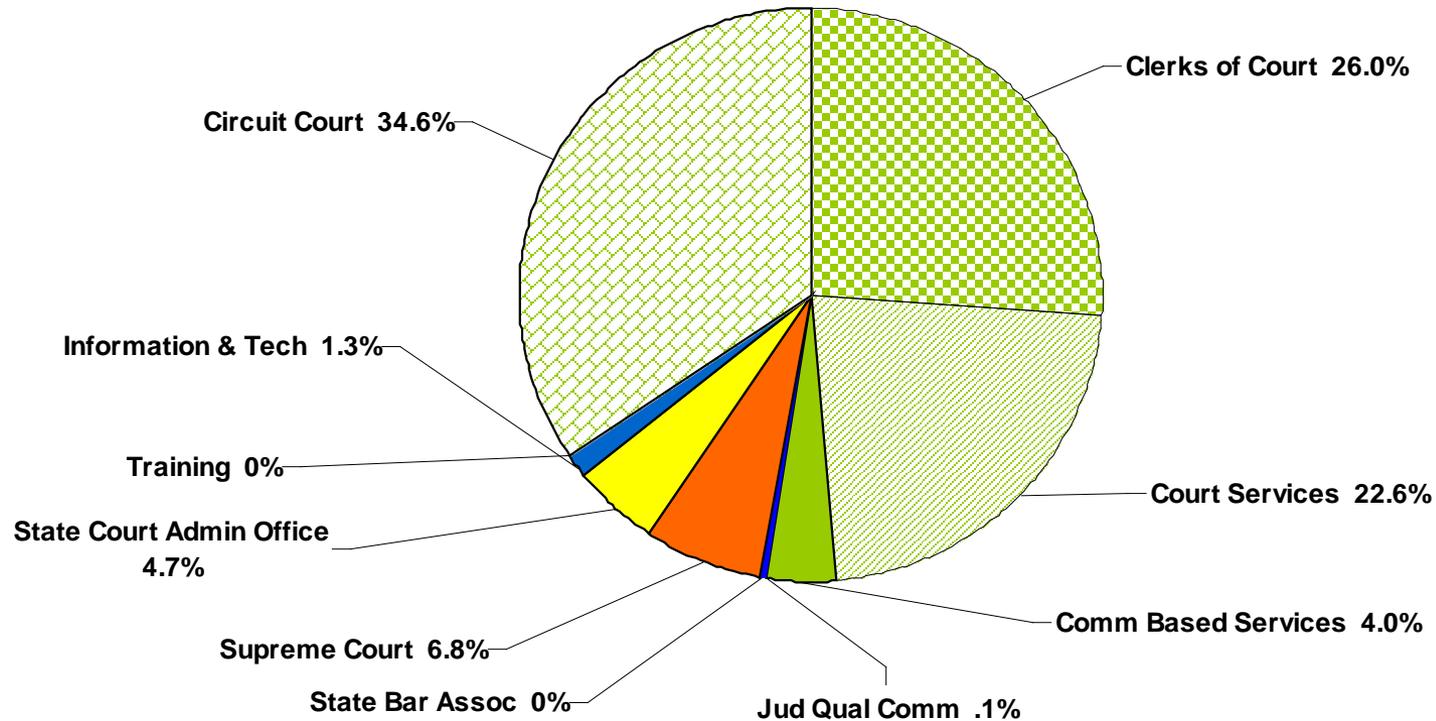
Drug Court study



FY11 →

1. Technology
2. Staffing
3. Resources
4. Rural issues
5. Access
6. Public Trust

FY11 Budget breakdown – General fund

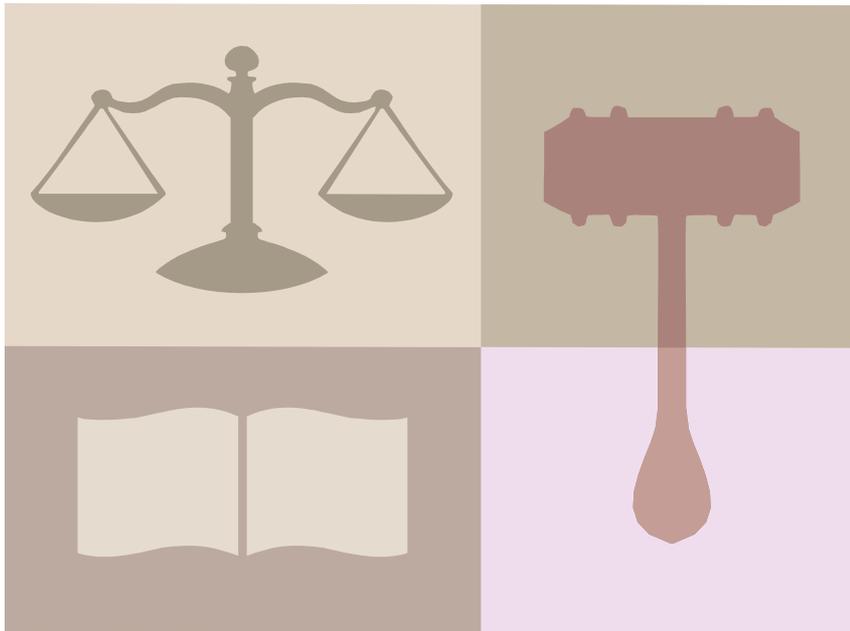


Determination of Priorities

- Surveys of Judges, staff, State Bar
- Competency levels: training
- Help Desk Work Orders and RMPs
- “Justice” measures v. “operational” measures
- Web site usage
- Value-added services
 - Remote assistance
 - Pro se litigants
 - Data entry v. case processing v. forms

Performance Indicator Options

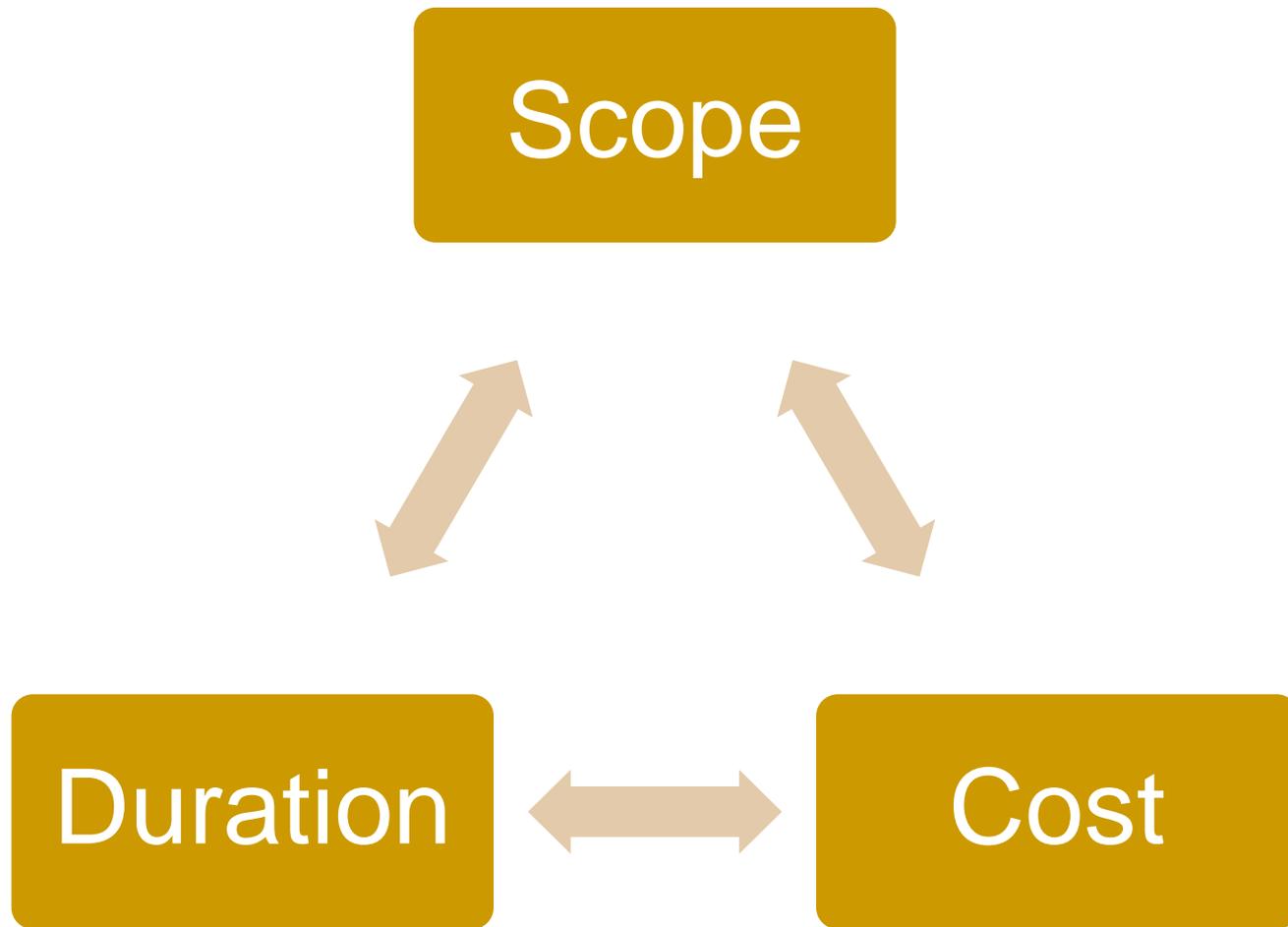
**Measuring Justice:
CourTools**



**Measuring Excellence:
IFCE**



Cost-Benefit Analysis: New CMS



Impact

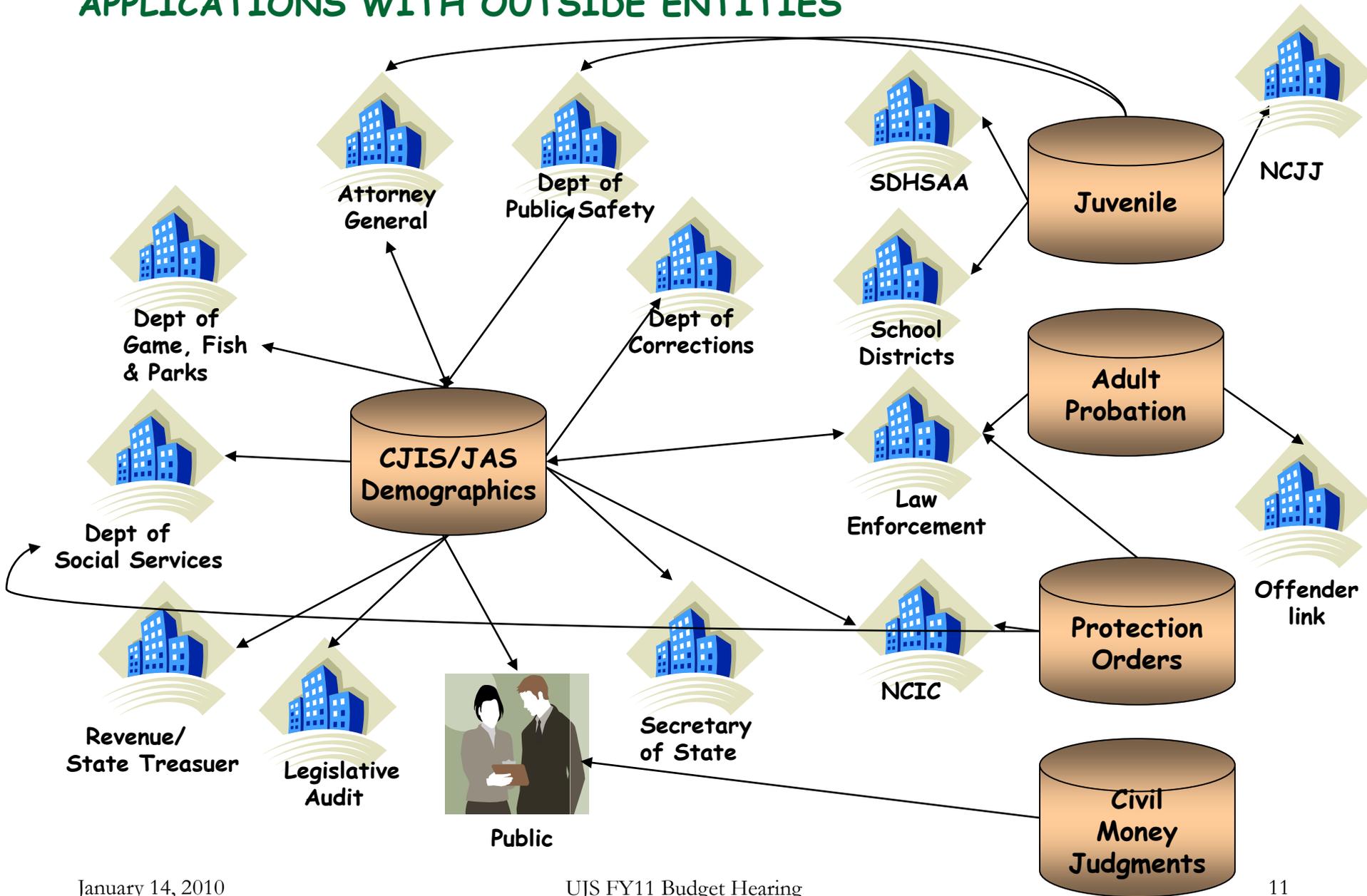


- UJS: 498 users
- DAA:
 - 32 county
 - 10 city
 - 1 federal
 - 2 other
- Interfaces with outside agencies: 10
- Subscriptions: 124
- Pay-as-you-go: 1846

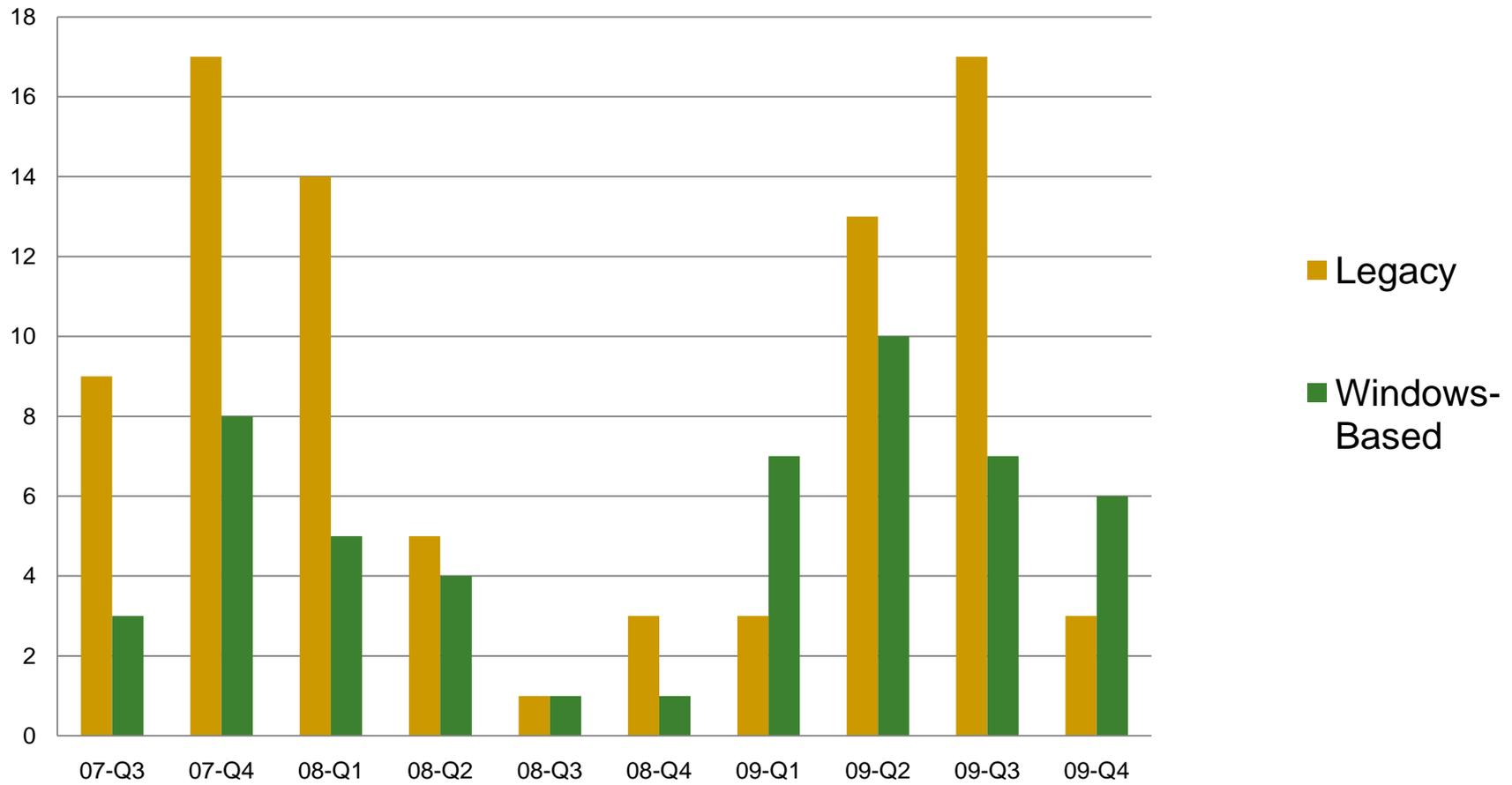
COURT AUTOMATION PROJECTS

	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009
Trial Court Reg of Actions (ROA)**																						
Criminal (CJIS)																						
Referee Tracking																						
Small Claims																						
Small Claims Upgrade																						
Judicial Accounting (JAS) Dev																						
JAS Implementation																						
Email Pilot																						
Email Statewide Install																						
Judge Assignment																						
I/T Work Request/Time Study																						
Juv Intensive Probation (JIPP) *																						
Home Based Services																						
Demographic File																						
Adult Probation (CATS)																						
I/T Computer Tracking																						
UJS Query (Judges)																						
Jury Management																						
UJS Website																						
Supreme Court ROA																						
Protection Order																						
CourtSmart																						
Multiple Warrant/Bond																						
Security Mgmt Software																						
Interactive TV (ITV)																						
For the Record (FTR)																						
Juvenile Probation																						
Statistical Reporting																						
Track-It HelpDesk WO System																						
Temporary Civil Judgment																						
Permanent Civil Money Judgment																						
Juvenile Detention																						
Table System Upgrade																						
I/T Request Maintenance Project																						
*JIPP Upgrade done as part of Juv Probation project																						
**Pink Indicates System Upgrade																						

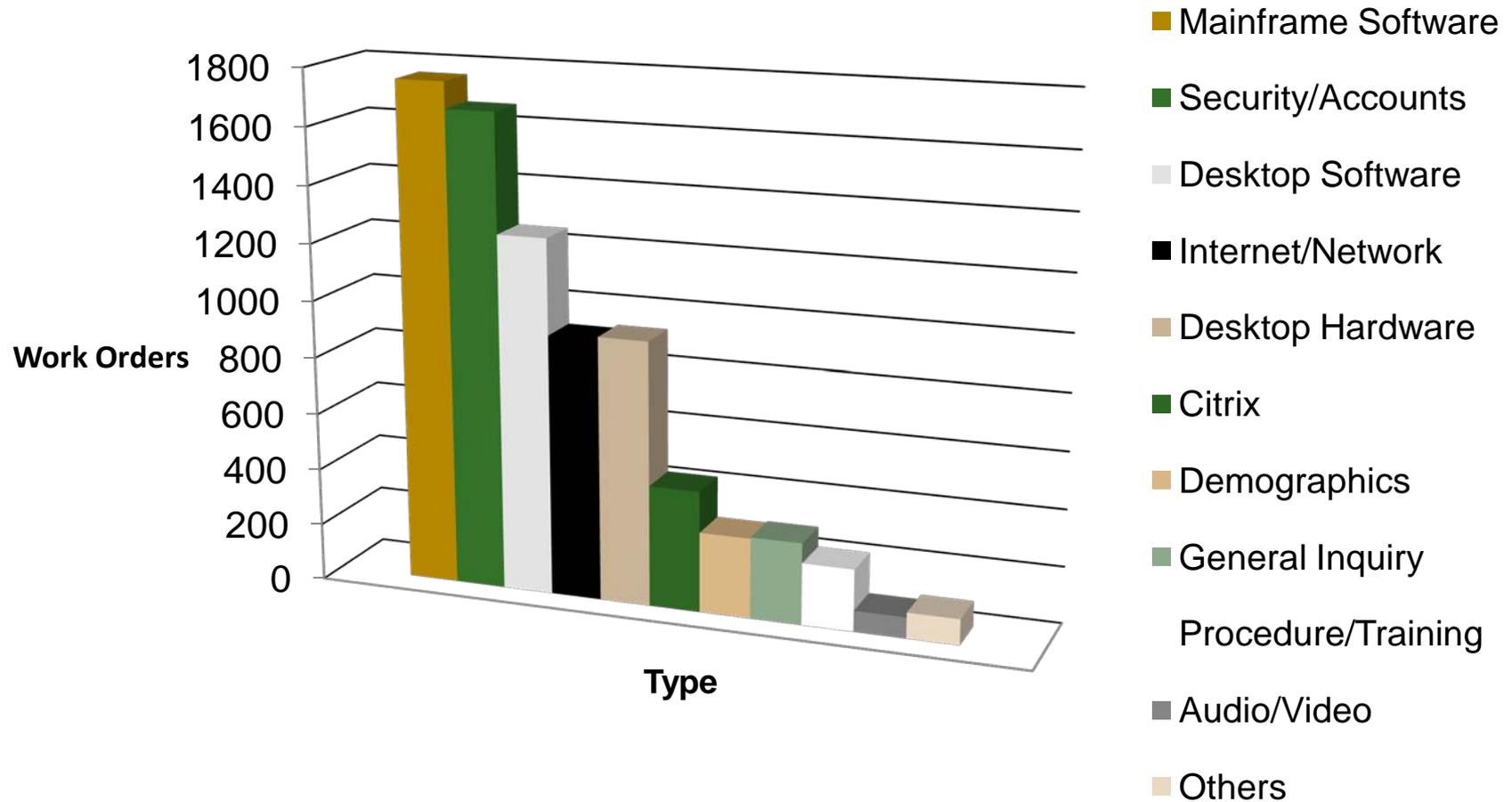
EXISTING UJS COURT AUTOMATION DATA SHARING APPLICATIONS WITH OUTSIDE ENTITIES



Current Programming: Changes and Enhancements



Help Desk calls (work orders, fixes)



CJIS/criminal & probation screen

SESSION1 - myEXTRA! Enterprise

File Edit View Tools Session Options Help

0505-Requested information displayed.
SC25P040 SC25M110 Query Magistrate Docket 12/31/09 11:29:25 RON1
Key: 9899 Screen: 01 Action: Q Gag Order: _
CIR: 6 DOCK: 32C98009899A0 ST: INITIAL APPEARANCE PENDI CM: CN: F-IND:
T: _ MAG/CIR: M JDG: _ CO-CONT-NUM: _ LST-UPD: 11 06 2007
CNM SIMPSON,HOMER AKA-COUNT 0 UJS-ID: 0000000550
FNM SIMPSON,HOMER DBA _
AD: 999 NINETY NINE DRIVE _
CY: PIERRE ST: SD ZP: 57501 _
FL-DT: 11 06 2006 ARR DATE: _ TME: _
PCN DOB 08 11 1962 DL 134111113 ST IL
LIC-PLATE: ST: CDL: N CMV: N HZ: N MV: N
Lines: 1 thru: 004 of: 001

Ln	OFFN	TY	CN	F	STATUTE-NUMBER	CMPL-NO	ISSUE-BY	V	D	CL	C	P	DESCRIPTION
001	DWI2	_	01	1	32-23-3	NOCOMPL	STA	_	N	N	M1	T	DRIVING UNDER IN
002													
003													
004													

SP: _ ZN: _ HW: _
PLT: SSD APPR-REQ: Y IN-JL: N TOTAL TICKET-AMT:
INIT-DT: 11 10 2006 TM: 0100 P JDG: _ STAT: _ RM: _
PRS: ST CNS: _ TY: _ TR-KEY: _ PREV-CS: _
Enter-PF1---PF2---PF3---PF4---PF5---PF6---PF7---PF8---PF9---PF10--PF11--PF12---
Help Xfer Canc1 Flip Expnd Menu

4|B :00.5 03/07

Connected to host blueibm.state.sd.us [164.154.196.33] (N50A0018)

Judicial Accounting System screen

SESSION1 - myEXTRA! Enterprise

File Edit View Tools Session Options Help

SC35P040 ACCOUNTS RECEIVABLE RECEIPT SCREEN 01/11/10 15:46:51 RON1

DATE RECEIVED FROM AMOUNT PT CHECK-NO DUE PAYMENT

01112010 SIMPSON, HOMER _____ - _____ 02012010 _____

RECEIPT: _____

OPENED: 12/31/2009

NSF: \$ 30.00

...ACCOUNTS RECEIVABLE LEDGER ACCOUNT.

DCKT: 32C98009899A0 ACCT COMM: COMMENTS FOR ACCOUNTING _____

JUDGE: SMITH, FRANK _____

NAME: SIMPSON, HOMER _____

ADDR: 999 NINETY NINE DRIVE _____

PIERRE SD 57501 _____

PHONE: 605 224 3890 STAT: DUE _____

DATE	RECEIVED FROM	RECEIPT	CHECK-NO	AMOUNT-PD	AMOUNT DUE
12312009	SIMPSON, HOMER	0004018	7788	-65.00	130.45
12312009	SIMPSON, HOMER	0004019		45.00	65.45
12312009	SIMPSON, HOMER	0004018	7788	65.00	110.45
					0.00

CODE: 101 KEY: 32C98009899A0

Enter-PF1---PF2---PF3---PF4---PF5---PF6---PF7---PF8---PF9---PF10---PF11---PF12---

HELP BACK NOREC XFER CANCL FLIP BKWRD FRWRD EXPD MENU

4|B :00.4 04/37

Connected to host blueibm.state.sd.us [164.154.196.33] (NSOA0018)

NUM | 4:47 PM

UJS System: (Windows interfaced) Civil

File Tools Help

Search for Case No:
 Cnty:
 Case Type:
 Yr:
 Sequence:

Case No:
 Deny By:
 Counter Claim:

File Date:
 Status:
 Clerk Status:

Plaintiff:
 Add'l:
 GAG Order:

Defendant:
 Add'l:
 Judge:
 Sealed:

Demographic Name Search

Name:

Master Name:
 UJS ID:
 Role:
 Filing Name:

View/Edit Addresses

Participant Grid

Record No	Role	Filing Name	Alias	UJS ID	Belongs To Name	Birth Date
1	DEFENDANT	BLOE,JOE		0000000015		02/15/1963
2	PLAINTIFF	WALLACE,BOYD		0000077242		05/20/1954

Protection Orders – web based

South Dakota Unified Judicial System

Protection Orders

General:
Main Menu
Protection Order Menu
Start a New Case
Retrieve Existing Case
Name/Case Search
Reports

Case 32TPO08000222:
Current Protection Order
Create Temporary Order
Create Permanent Order
Modify Current Order
Protection Order History
Attorneys
Participants
Proceedings
ROA Documents
Disposition
NCIC/State Radio
Change of Venue
Related Cases

UJS Web Applications
Protection Orders
Query System

Temporary Protection Order-Stalking

Status Messages

- o Protection order 2 of 2.

[View Previous PO](#) [View Next PO](#) [Cancel Changes](#) [Delete Order](#) **Temporary Order**

County	Case Type	Year	Sequence	
Case No	32	TPO	08	000222

[Search Cases](#) [Delete Case](#)

Clerk Status: REOPENED

Case No: 32TPO08000222 File Date: 7/2/2007

Case Status: TEMPORARY ORDER GRANTED

Petitioner: KLINKHAMMER,DANA,FRANCIS Attorneys: N OBO Minor Child: Y

Respondent: CARPENTER,BRUCE Attorneys: N Sealed:

GAG Order CAUTION: Weapon Involved

Draft Only

Issue Date: 7/28/2007 Expire Date: 8/28/2007 Served: Served Date:

Menu: Integrated Case Management

The screenshot shows the Odyssey Case Manager Home application. The window title is "Case Manager Home" and the menu bar includes "File", "View", "Tools", "Centers", and "Help". The main interface features a navigation sidebar on the left with a logo and several menu categories: "Case Manager Home", "Searches", "Add a Case", "Add a Case Classic", "Add a Citation", "Protection Orders", "Court Administration", "Fees & Finances", and "Administration". The main content area has a header "Case Manager Home" and a navigation bar with links for "Cases", "Warrants", "Bonds", "Parties", "Citations", "Judgments", and "Protection Orders". Below this, there are two columns of menu items. The left column is titled "Work with Cases" and includes "Find a Case", "Add a Case", "Add a Case Classic", and "Copy a Case". The right column lists "Court Administration", "Fees & Finances", "Forms & Documents", "Reporting", and "Administration", each with a brief description of its function. The bottom status bar shows the date "12/31/2009 1:08pm" and a "Messages" icon.

Case Manager Home

File View Tools Centers Help

Odyssey

◆ **Case Manager Home**

◆ **Searches**

- Find a Case
- Find a Party
- Find a Judgment
- Find a Hearing
- Find a Group
- Find a Citation

◆ **Add a Case**

◆ **Add a Case Classic**

◆ **Add a Citation**

◆ **Protection Orders**

- Find a Protection Order
- Add a Protection Order

◆ **Court Administration**

- View Calendar
- View Resource Schedule
- Print Notices
- Print Resource Letters
- Move Multiple Case Files
- Review Electronic Files
- Set Committal Hearings
- Appoint Attorneys
- Litigation Control Orders
- Judgment Proofing

◆ **Fees & Finances**

- Find an Account
- Sign On to a Till
- Find a Receipt
- Find a Disbursement
- Manage Tills
- Manage Deposits
- Check Processing Queue

Case Manager Home Case Manager

Cases | Warrants | Bonds | Parties | Citations | Judgments | Protection Orders

Work with Cases

- Find a Case**
- Add a Case**
- Add a Case Classic**
- Copy a Case**

◆ **Court Administration**

Go here to schedule hearings, generate notices, and prepare calendars.

◆ **Fees & Finances**

Go here to assess fees, take payments, post financial corrections and disburse monies collected by your office.

◆ **Forms & Documents**

Go here to create and update form templates.

◆ **Reporting**

Go here to produce reports of the various functions of your office including statistical, financial, and monthly reports.

◆ **Administration**

Go here to perform administrative tasks such as maintenance of codes, users, attorneys, and other special parties.

12/31/2009 1:08pm Messages

Evaluation of Options

- COTS system
 - ❑ \$8.849 million contract
 - ❑ \$2.6 million UJS costs
 - ❑ 30-48 months roll out
 - ❑ \$338k - \$541k/yr maintenance
 - ❑ Updates, upgrades, and new development releases annually
 - ❑ Priorities based on cost
 - ❑ Benefits from other users
- In-house Design
 - ❑ \$8.6m vendor costs
 - ❑ \$3.7m UJS staff costs
 - ❑ 181 months (15 yrs)
 - ❑ Maintenance mix
 - ❑ Programming & code control
 - ❑ Priorities based on time and money
 - ❑ No updates, upgrades, or new development

Funding Strategy

- Cost of CMS
- Circuit Court & Supreme Court Surcharges
increase in Court Automation Fund
- Cash flow needed

New CMS Costs

■ Vendor contract	\$ 8,849,321
■ UJS costs	\$ 2,666,949*
■ Contingency fund	\$ <u>333,900</u>
■ TOTAL	\$11,850,170

*includes new and existing/reallocated costs

Court Automation Fund includes:

Revenues

- Circuit Court Surcharge
- Fax Filing Fees
- Victims Compensation 3% Admin
- Interest Earned
- Information Requests
- Nonresident Attorney fees
- Search Fees
- Supreme Court Surcharge
- CD Transcripts
- Miscellaneous

Expenses

- I/T staff (PS & Benefits)
 - Travel
 - Contractual Services
 - Supplies & Materials
 - Capital Assets
 - CMS system
- (training included in travel and contractual services)

Circuit & Supreme Court Surcharges History

Effective 7/1/90 (FY91)

- FY91 \$2.50 on criminal and civil (not SMC)
- FY93 Increased to \$5
- FY00 Added to Small Claims; Supreme Court; schedule for city/state
- FY05 Increased by \$3 or \$5

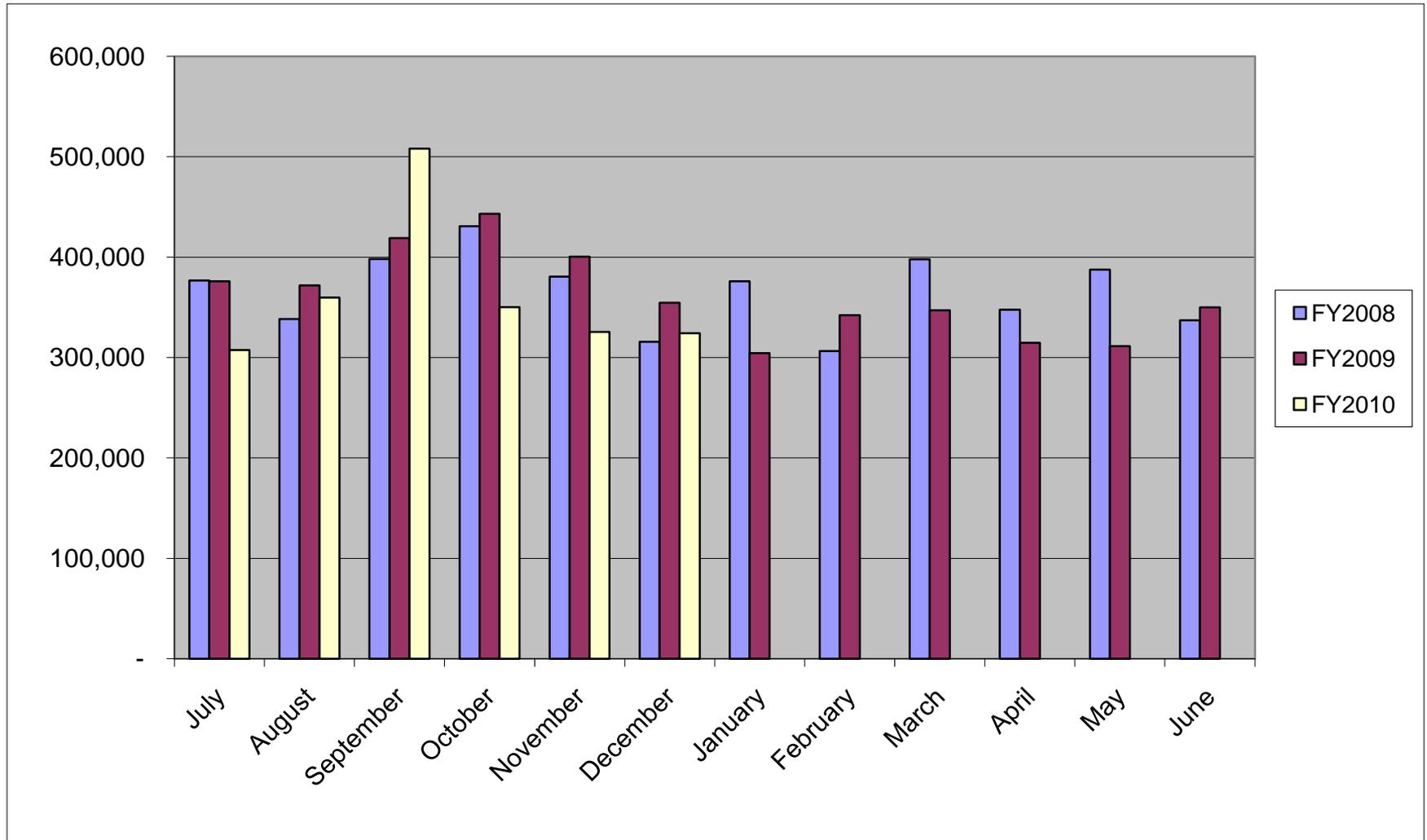
Current Court Automation Surcharges

	Now	Proposed
■ Felonies	\$ 30.50	\$ 61.50
■ Class 1 Misd	\$ 20.50	\$ 41.50
■ Class 2 Misd	\$ 11.50	\$ 23.50
■ Muni ordinances	\$ 8.50	\$ 17.50
■ Admin Rules	\$ 8.50	\$ 17.50
■ SMC <\$4,000	\$ 6.00	\$ 12.00
■ SMC >\$4,000	\$ 8.00	\$ 16.00
■ SCT filings, appeals	\$ 25.00	\$ 50.00
■ Civil cases	\$ 20.00	\$ 40.00

Hierarchy of Payments applied (1983)

- 1. Restitution
- 2. Liquidated Costs
- 3. *Court Automation Surcharge*
- 4. Victim's Compensation Surcharge
- 5. Court Appointed Attorneys Fees
- 6. State or City Costs
- 7. State or City Fines

Court Automation Fund Receipts



Estimated revenues from increased Surcharges, as proposed

- **\$1.327 million/year**

Cash Flow Estimates

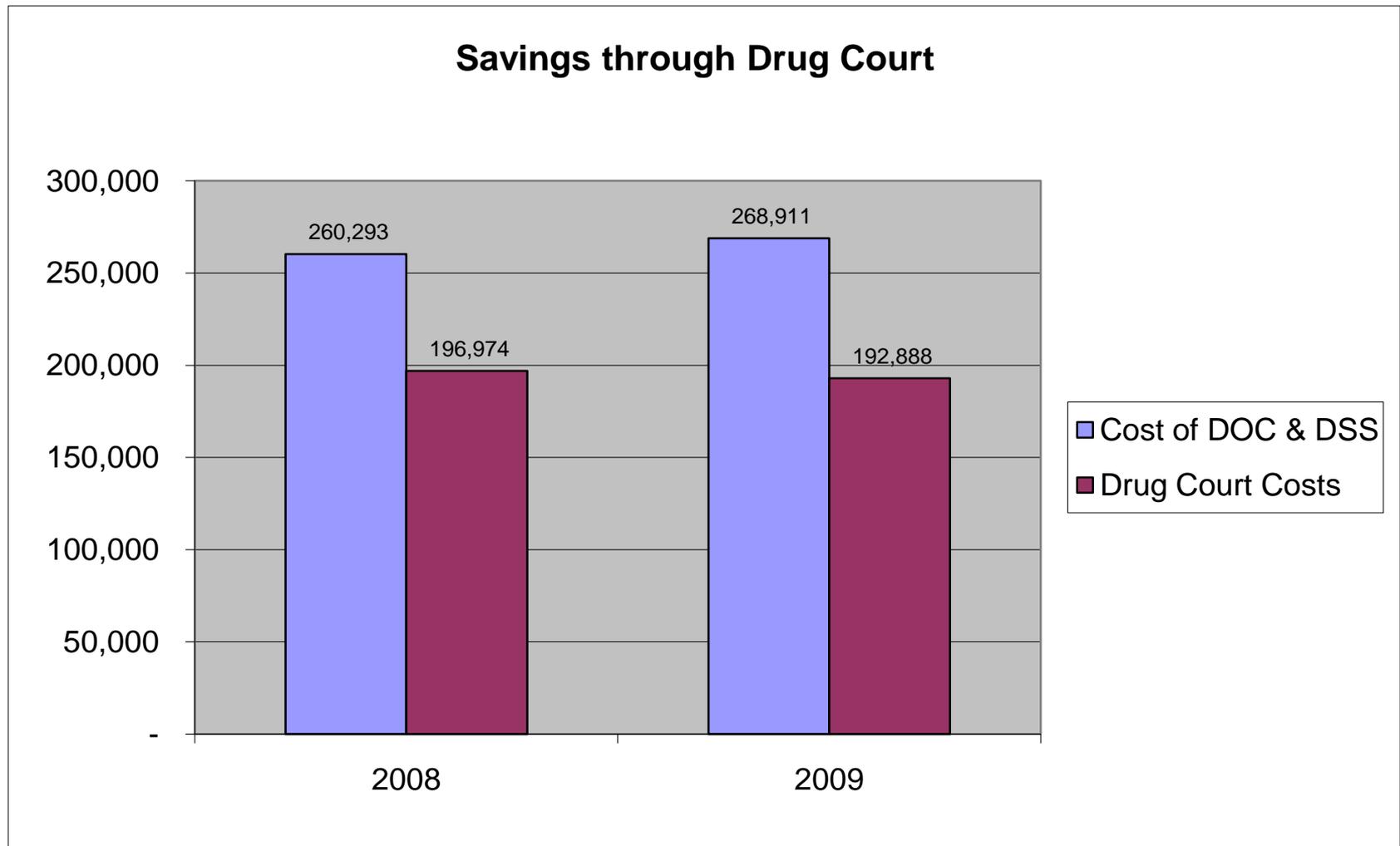
- No General Funds expected to be needed first two years if increase approved
- Depending on economic turnaround and collections efforts
- Possibly need General Funds in future due to payment schedule and Cash Flow status
- Anticipate Positive Cash Balance by FY15

Timeline for CMS: 30-48 months

- Soft Kick-off & training: **Jan 2010**
- Fit analysis: **Feb-May 2010**
- Customization & development: thru **Jan 2011**
- PILOT courts up: **Feb-Apr 2011**
- Circuit implementation: **May 2011-Dec 2012**
- E-filing & EDMS: **Jan 2013-Sep 2013**
- Evaluation & post-fit: **Jul 2013-Jan 2014**

Drug Court in South Dakota

Northern Hills Drug Court



Additional Benefits of Drug Court

	2008	2009
Total annual income earned by participants	\$ 256,145	\$ 144,954
Treatment costs paid by participants	\$ 10,164	\$ 8,239
Child support paid by participants	\$ 7,508	\$ 3,100
Federal income taxes paid by participants	\$ 14,207	\$ 10,631
Hours of volunteer and community service performed	645.0	873.5

Drug Court Study

- Highlights

- Methodology: Reports and survey results

- Lessons Learned

- Stakeholder commitment
- Local needs to be addressed

- Findings & Conclusions

- Continued funding
- 4 possible sites
- Symposium to develop blueprints

Conclusion