**CHAPTER 20:08:05**

**INVESTMENT ADVISER RULES**

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20:08:05:14 Investment adviser representative definitions.

20:08:05:15 Application for investment adviser and investment adviser representative registration.

20:08:05:16 Notice filing requirements for federal covered investment advisers.

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20:08:05:21 Minimum financial requirements for investment advisers.

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20:08:05:28 Prohibited conduct in providing investment advice.

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